

Etale homotopy theory  
(after Artin–Mazur,  
Friedlander et al.)

Heidelberg  
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# Lecture 3: Applications

March 20, 2014

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For more applications see Friedlander's great book.

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The map  $\varepsilon : X_{cl} \rightarrow X_{et}$  becomes an isomorphism in  $\text{pro-}H$  after profinite completion.

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For  $X$  geometrically unibranch:

$$X_{cl}^{\hat{}} \approx X_{et} \text{ in } \mathbf{pro-H}.$$

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For  $X$  connected and geometrically unibranch:

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If  $X$  is geometrically unibranch and  $X_{\text{cl}}$  is simply connected:

$$\pi_n(X_{\text{cl}})^{\wedge} \approx \pi_n(X_{\text{et}}) \text{ for all } n.$$

Serre's example revisited:

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Let  $X$  be connected scheme over a field  $k$  of characteristic zero. Let  $X_1$  and  $X_2$  be the schemes over  $\mathbb{C}$  obtained via two different embeddings of  $k$  into  $\mathbb{C}$ .

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Then after profinite completion there is an isomorphism in  $\text{pro-H}$ :

$$X_{1,\text{cl}}^{\hat{\ }} \approx X_{2,\text{cl}}^{\hat{\ }}.$$

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$$X_{1,\text{cl}}^{\hat{}} \approx X_{2,\text{cl}}^{\hat{}}.$$

Thus the possible difference of the homotopy types of  $X_{1,\text{cl}}$  and  $X_{2,\text{cl}}$  vanishes after completion. To prove this we use étale homotopy theory.



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There is a canonical isomorphism in  $\text{pro-}\mathcal{H}$

$$X_{1,\text{et}}^\wedge \approx X_{0,\text{et}}^\wedge$$

where  $^\wedge$  denotes completion away from  $\text{char } k$ .

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The "complex K-theory" of  $T$  with  $\mathbb{Z}/m$ -coefficients can be defined as

$$K^0(T; \mathbb{Z}/m) = \text{Hom}_H(C(m) \wedge T, BU) \text{ and}$$
$$K^1(T; \mathbb{Z}/m) = \text{Hom}_H(S^1 \wedge C(m) \wedge T, BU).$$

where  $BU$  is the infinite complex Grassmannian.

Friedlander's étale K-theory:

If  $Y$  is a pro-space, its (complex) K-theory is defined by

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If  $X$  is a scheme of finite type over a complete discrete valuation ring with separably closed residue field, Friedlander defines the "étale K-theory of  $X$ " to be the K-theory of  $X_{\text{ét}}$ .

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If  $X$  is a complex variety, then

$$K_{\text{ét}}^*(X; \mathbb{Z}/m) \approx K^*(X_{\text{cl}}; \mathbb{Z}/m).$$

Galois action on étale K-theory:

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Dwyer and Friedlander interpreted important arithmetic questions in terms of this Galois action on étale K-theory.

## Algebraic vs etale K-theory:

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After the first construction by Friedlander there were more sophisticated definitions of étale K-theory by Friedlander, Dwyer-Friedlander and Thomason.

They all come equipped with natural maps

$$K_{\text{alg}}^*(X; \mathbb{Z}/l^n) \rightarrow K_{\text{et}}^*(X; \mathbb{Z}/l^n)$$

where  $l$  is a prime invertible on  $X$ .

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Thomason: If  $X$  is a smooth quasi-projective variety over a field of characteristic  $\neq l$  of finite  $\text{mod-}l$  etale cohomological dimension, then

$$K_{*}^{\text{alg}}(X; \mathbb{Z}/l^n)[\beta^{-1}] \rightarrow K_{*}^{\text{et}}(X; \mathbb{Z}/l^n)$$

is an isomorphism.

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“Quillen–Lichtenbaum conjecture”:

If  $X$  is a smooth variety over a field and  $n$  is invertible in  $k$ , then the natural map

$$K_i^{\text{alg}}(X; \mathbb{Z}/n) \rightarrow K_i^{\text{ét}}(X; \mathbb{Z}/n)$$

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Note: The "Quillen-Lichtenbaum conjecture" follows  
from the "Bloch-Kato conjecture".



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Let us have a second look at the (complex version of the) Adams conjecture:

Let  $BU(n)$  be the Grassmannian of complex  $n$ -planes,  $BU$  be the infinite complex Grassmannian.

Let  $BG$  be the classifying space of (stable) spherical fibrations.

Sullivan and Galois symmetries in topology:

Adams: For all  $k$ , the map

$$J_*(\psi^{k-1}) : BU(n) \rightarrow BU \rightarrow BG[1/k]$$

is null-homotopic, i.e., homotopic to a constant map.

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First step: As in Lecture 1, it suffices to consider the  $p$ -completed maps (for each  $p$  with  $(k,p)=1$ )

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Sullivan's amazing idea:

Interpret the Adams operations as "Galois symmetries" on profinitely completed homotopy types of classifying spaces.

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Concretely:  $\sigma \in Gal_Q$  acts on  $\pi_2(P^n(\mathbb{C})^\wedge) = \mathbb{Z}_p$  by multiplication with  $\chi(\sigma)$  where  $\chi$  denotes the cyclotomic character.

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Key fact: The étale homotopy type tells us how to read off the action on finite covers.

Galois symmetries in topology:

In the same way: There is a nice action of  $\text{Gal}_Q$  on  $P^\infty(\mathbb{C})^\wedge (\approx K(\mathbb{Z}_p, 2))$  and on  $\text{BU}(n)^\wedge$ :

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Concretely:  $\sigma \in \text{Gal}_Q$  acts on  $\text{BU}(n)^\wedge$  such that

$$\sigma(c_i) = \chi(\sigma)^{-i} \cdot c_i$$

on cohomology, where  $c_i$  is the  $i$ th Chern class.

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Key observation: This  $\sigma$  is an "unstable version" of the Adams operation  $\psi^k$ . (Use splitting principle and compute the effect on line bundles.)

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This is very remarkable: Without completions,  $\psi^k$  is an endomorphism of  $\text{BU}$  and not  $\text{BU}(n)$ .

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is homotopy commutative and cartesian.

Thus, twisting by  $\psi^k$  does not change the corresponding spherical fibration. This completes the sketch of Sullivan's proof of the Adams conjecture.



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Let  $k$  be a field. A "sums-of-squares formula" of type  $[r,s,n]$  is an identity of the form

$$(x_1^2 + \dots + x_r^2) \cdot (y_1^2 + \dots + y_s^2) = z_1^2 + \dots + z_n^2$$

where each  $z_i$  is a bilinear expression in the  $x$ 's and  $y$ 's with coefficients in  $k$ .

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where each  $z_i$  is a bilinear expression in the  $x$ 's and  $y$ 's with coefficients in  $k$ .

For  $k=\mathbb{R}$  such an identity corresponds to an "axial map"

$$\mathbb{R}P^{r-1} \times \mathbb{R}P^{s-1} \rightarrow \mathbb{R}P^{n-1}.$$

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Davis found improved results using  $BP$ -theory.

Sums of squares in positive characteristic:

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Etale realizations and **BP**-theory for pro-spaces:  
The topological obstructions do not depend on the field **k** (**char k  $\neq$  2**).



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- Schmidt's extension of Artin-Mazur's etale type
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The étale homotopy type of  $M$  is the pro-object

$$\pi \text{Triv}/M \rightarrow H$$

$$U_{\bullet} \mapsto \pi_0(U_{\bullet}).$$

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But: in general, the map  $A^1_S \rightarrow S$  does not induce an isomorphism of étale fundamental groups.

The functor  $ht$  only factors through  $A^1$ -localization if we complete away from the residue characteristics.

Isaksen's "rigidified" étale realization:

Isaksen extends Friedlander's étale topological type to motivic spaces.

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Using a  $\mathbf{Z}/l$ -model structure, the étale type becomes a left Quillen functor from motivic spaces to the pro-category of simplicial sets.

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Denote  $CH^p(X) := Z^p(X) / \sim_{\text{rat}}$  for cycles modulo "rational equivalence".

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In the 1990's Totaro showed that  $\text{cl}_H$  factors via a quotient of complex cobordism  $\text{MU}^*(X_{\text{cl}})$ :

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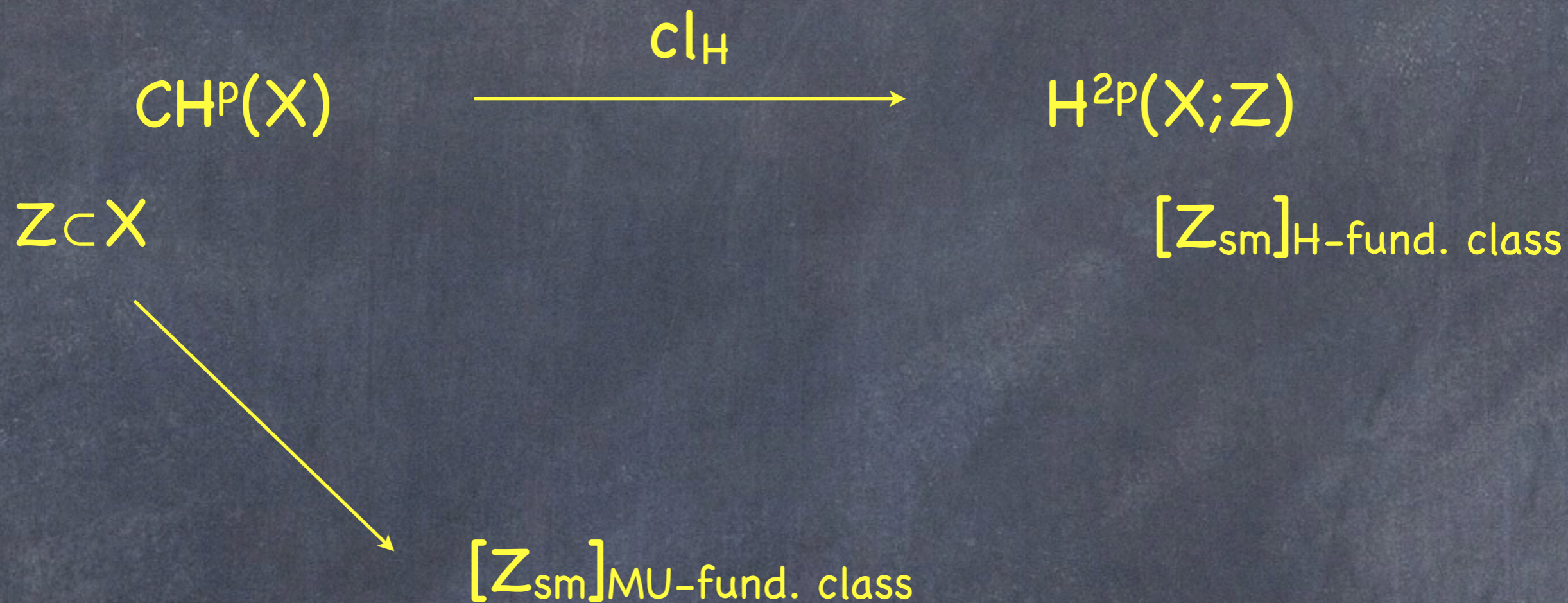
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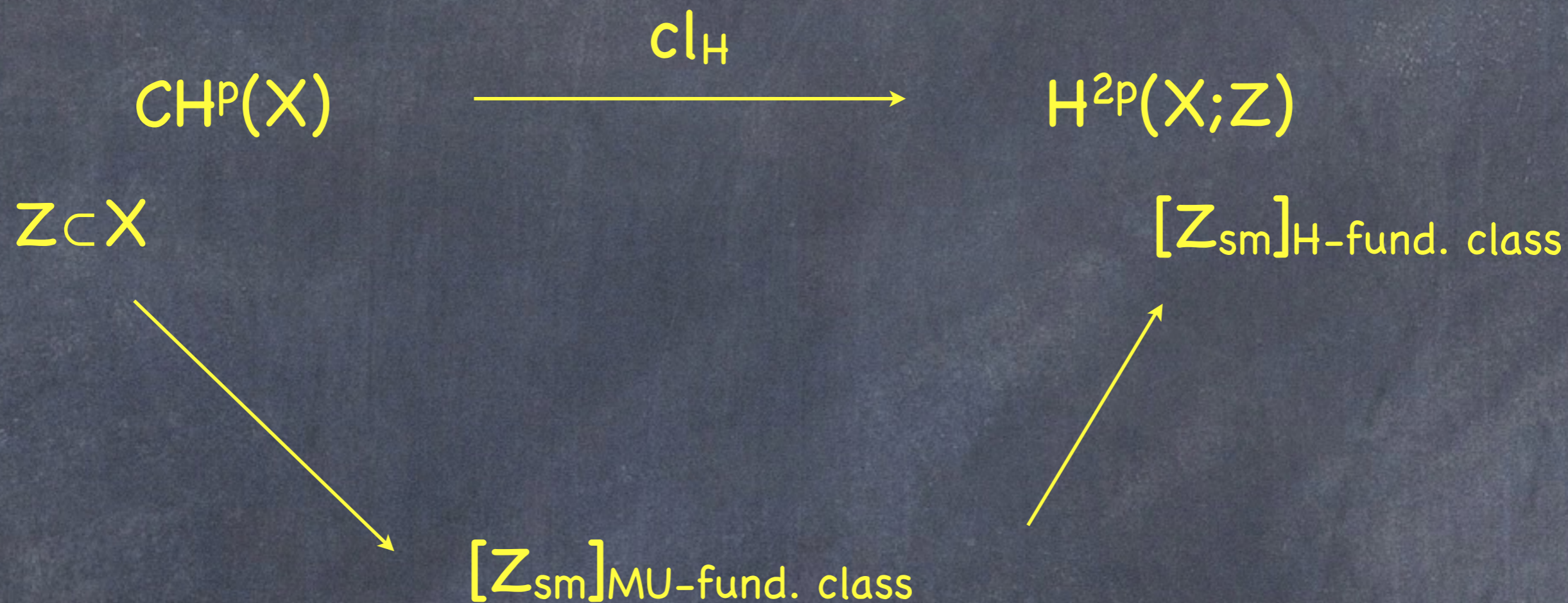
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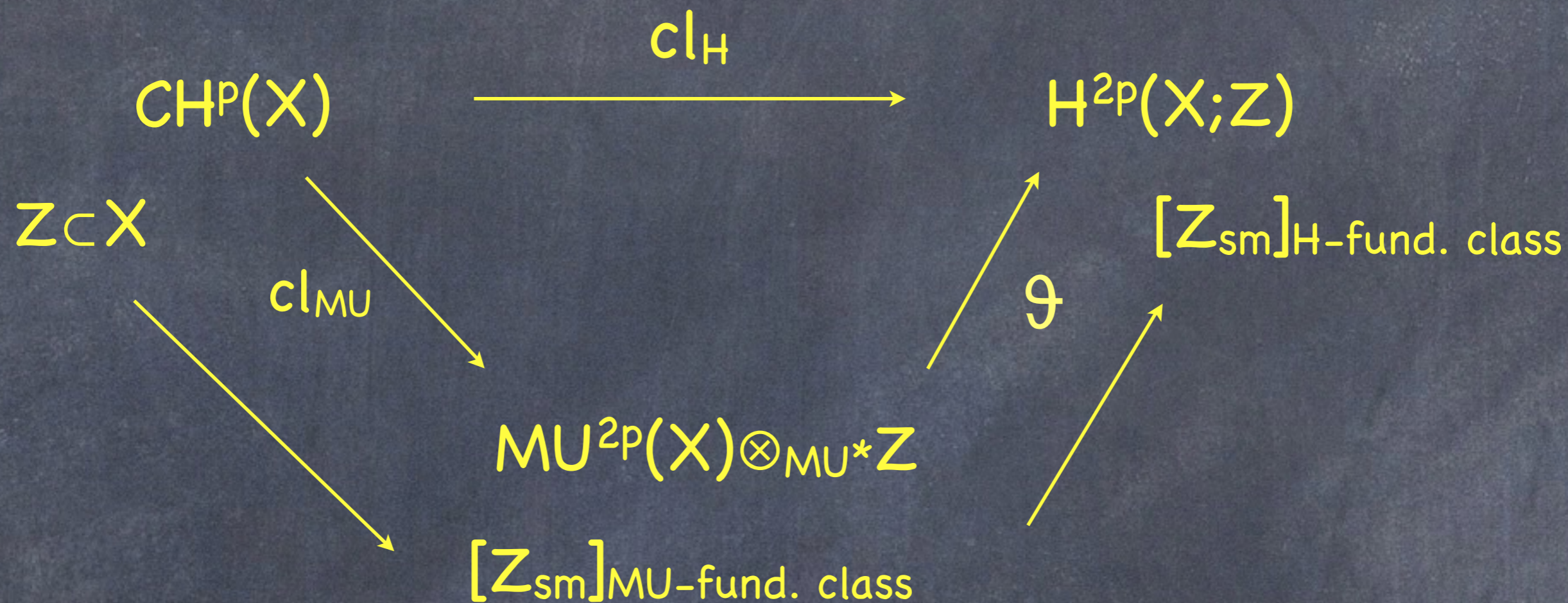


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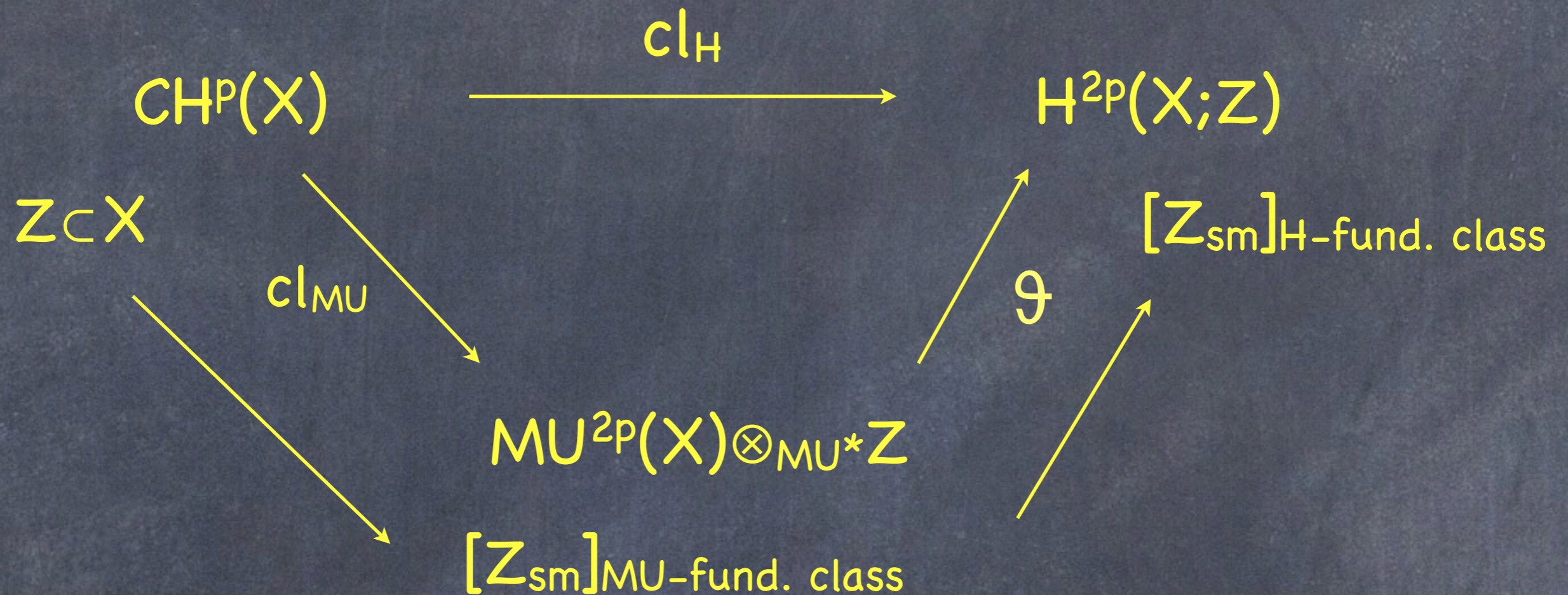




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This is diagram commutes.

Consequences:

$$\begin{array}{ccc} \mathrm{CH}^p(X) & \xrightarrow{\mathrm{cl}_H} & \mathrm{H}^{2p}(X; \mathbb{Z}) \\ & \searrow \mathrm{cl}_{\mathrm{MU}} & \nearrow \vartheta \\ & & \mathrm{MU}^{2p}(X) \otimes_{\mathrm{MU}^*} \mathbb{Z} \end{array}$$

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- A topological obstruction on the image of  $\text{cl}_H$ :  
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In particular, all odd degree cohomology operations must vanish on the image of  $\text{cl}_H$ .
- More importantly: We can study the kernel of  $\text{cl}_H$  by finding elements in the kernel of  $\mathcal{G}$  that are in the image of  $\text{cl}_{MU}$ ; good candidates are polynomials in Chern classes.  
Totaro used this method to find important new examples of elements in the Griffiths group.

Algebraic cycles and etale cobordism:

Now let  $X$  be a smooth projective variety over a finite field  $k$  of characteristic  $p$  and  $l$  a prime  $\neq p$ .

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$$\begin{array}{ccc} \text{CH}^i(X) & \xrightarrow{c_{l, \text{et}}} & H_{\text{et}}^{2i}(X; \mathbb{Z}_l(i)) \\ \mathbb{Z} \subset X & \longrightarrow & [\mathbb{Z}] \text{ "etale fund. class"} \end{array}$$

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$$\begin{array}{ccc} CH^i(X) & \xrightarrow{cl_{\text{Het}}} & H_{\text{et}}^{2i}(X; \mathbb{Z}_l(i)) \\ \mathbb{Z} \subset X & \xrightarrow{\quad} & [\mathbb{Z}] \text{ "etale fund. class"} \end{array}$$

Integral Tate "conjecture": Is

$$CH^i(X) \otimes \mathbb{Z}_l \xrightarrow{cl_{\text{Het}}} H^{2i}(X_{\bar{k}}; \mathbb{Z}_l(i))^{G_k}$$

surjective? The answer is "no" as we will explain now.



Etale cobordism (Q.):

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For a variety  $X$  over an alg. closed field we define the  $l$ -adic etale cobordism of  $X$  to be

$$\hat{M}U_{et}^n(X) := \text{Hom}_{\hat{S}H}(\Sigma^\infty(\hat{X}_{et}), \Sigma^n \hat{M}U)$$

where  $\hat{S}H$  is the stable  $l$ -adic homotopy category of profinite spectra.

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over  $k=\bar{k}$ ,  $l \neq \text{char } k$ .

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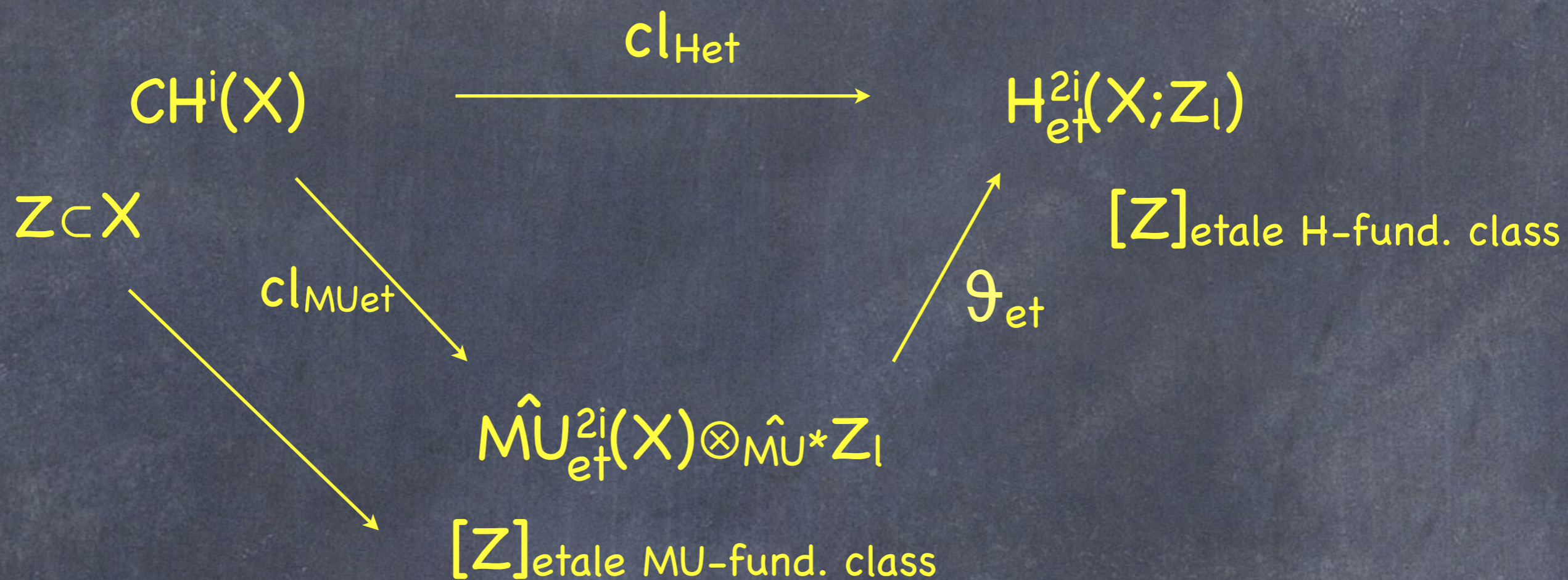
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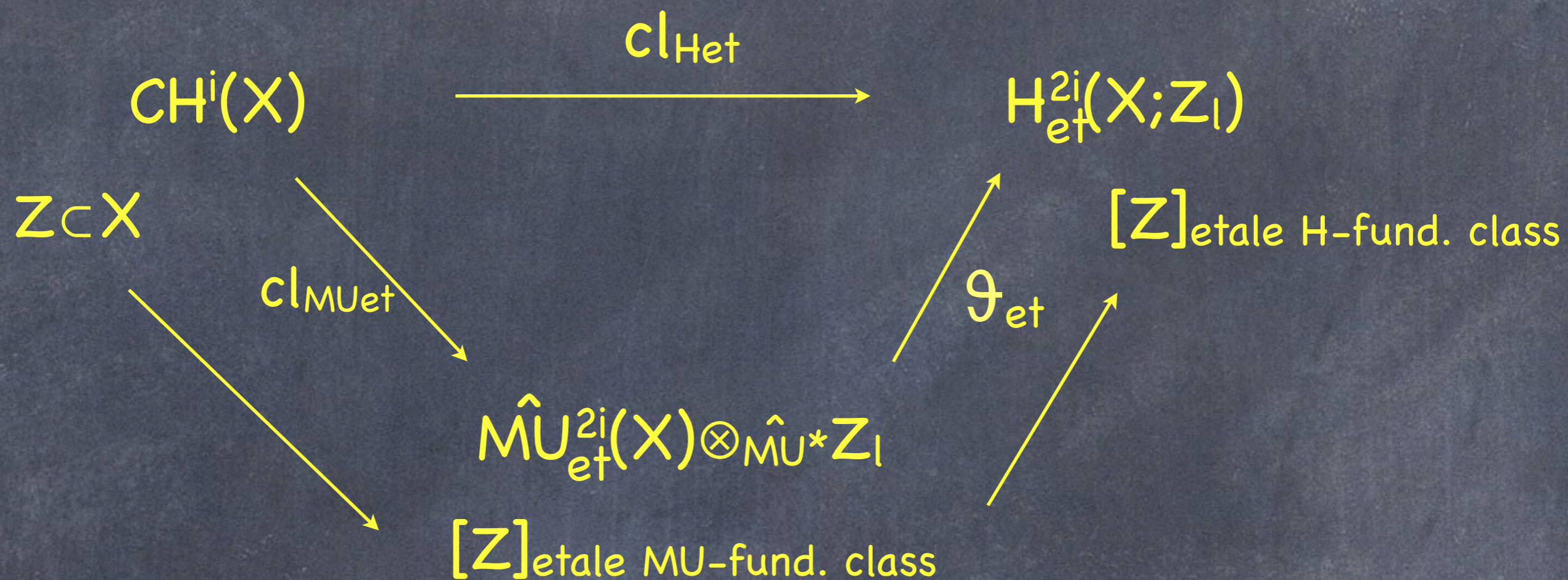
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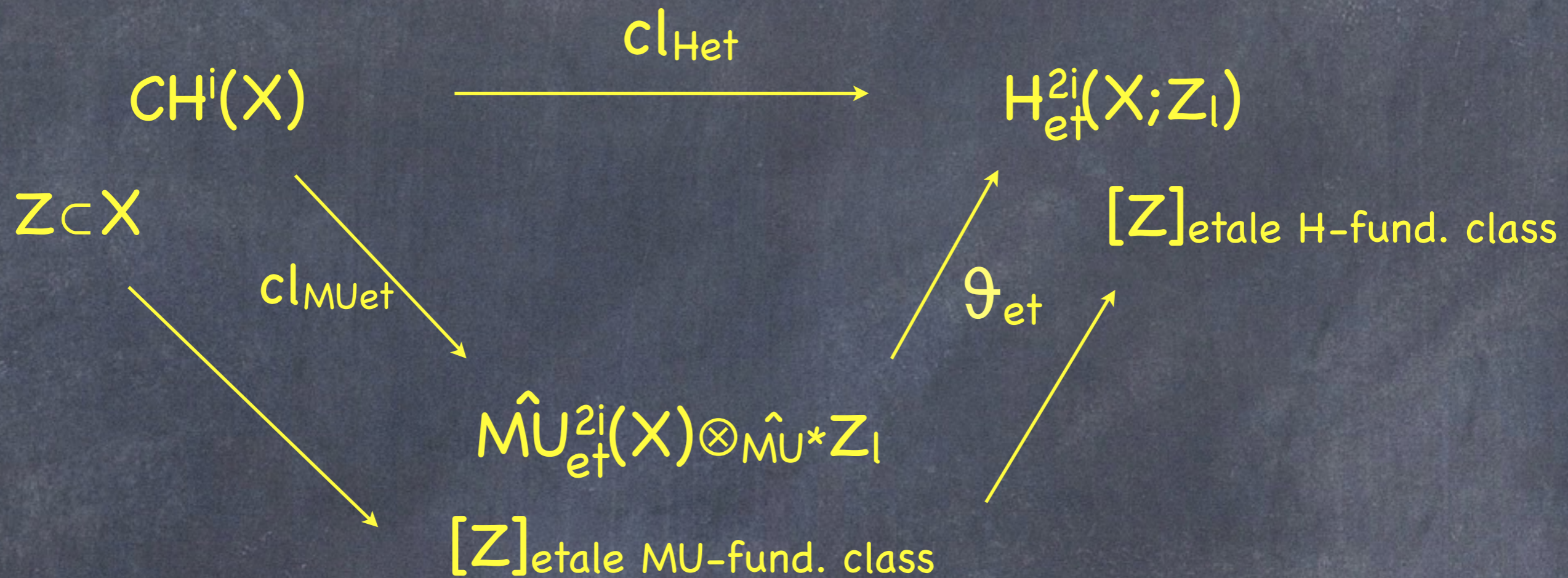
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Note: The construction of  $cl_{\text{MUet}}$  uses that there are "tubular neighborhoods" in étale homotopy.

Consequences:

$$\begin{array}{ccc} CH^i(X) & \xrightarrow{cl_{\text{Het}}} & H_{\text{et}}^{2i}(X; \mathbb{Z}_l) \\ & \searrow^{cl_{\text{MU}}} & \nearrow^{\mathfrak{g}_{\text{et}}} \\ & & \hat{M}U_{\text{et}}^{2i}(X) \otimes_{\hat{M}U^*} \mathbb{Z}_l \end{array}$$

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- Cycles of Atiyah and Hirzebruch provide counter-examples to the integral version of the Tate conjecture for varieties over finite fields.

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The functoriality of the étale homotopy type gives a natural map

$$\begin{aligned} X(k) &\rightarrow \mathrm{Hom}_{\hat{H}_k}(\mathbb{A}_k^{\mathrm{et}}, X_{\mathrm{et}}) \\ (k \rightarrow X) &\mapsto (k_{\mathrm{et}} \rightarrow X_{\mathrm{et}}) \end{aligned}$$

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where  $\hat{H}_{k_{\mathrm{et}}}$  is a suitable homotopy category of “profinite spaces” over  $k_{\mathrm{et}}$ .

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$$X(k) \rightarrow \text{Hom}_{\hat{H}_{BG_k}}(BG_k, X_{et}).$$

We interpret this set as the set  $\pi_0((X_{et})^{hG_k})$  of connected components of the "continuous homotopy fixed points of  $X_{et}$ ".

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Pal and Harpaz-Schlank use the map

$$X(k) \rightarrow \pi_0((X_{\text{et}})^{hG_k})$$

to reinterpret obstructions to the existence of rational points in terms of étale homotopy theory.

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Fundamental question: Is the map

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The hope: Homotopy methods give us a chance to understand the set  $\pi_0((X_{\text{et}})^{hG_k})$  and the above map. But so far, we don't know if this works.

Thank you!