

**Math 231b**  
**Lecture 35**

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35. LECTURE 35: SPHERE BUNDLES AND THE ADAMS CONJECTURE

**35.1. Sphere bundles.** Let  $X$  be a connected finite cell complex. We saw that the  $J$ -homomorphism could be defined by sending an automorphism of  $\mathbb{R}^n$  to the induced automorphism of the one-point compactification. Today we want to generalize this construction and study  $J$  as a construction on vector bundles as follows.

Let  $E \rightarrow X$  be an  $n$ -dimensional real vector bundle. By taking the *fiberwise one-point compactification* we get an associated fiber bundle  $S(E) \rightarrow X$  whose fibers are all  $n$ -spheres  $S^n$ . We call such a bundle a sphere bundle.

We will say that a map  $f: S(E) \rightarrow S(E')$  of bundles is a *fiber homotopy equivalence* if there is a bundle map  $g: S(E') \rightarrow S(E)$  such that  $f \circ g$  and  $g \circ f$  are homotopic through bundle maps to the respective identities.

Taking the associated sphere bundle of a vector bundle respects direct sums in the sense that

$$S(E \oplus E') \cong S(E) \wedge_X S(E')$$

where  $\wedge_X$  denotes the fiberwise smash product.

**Definition 35.1.** We denote by  $\mathcal{SF}(X)$  the Grothendieck group of pointed sphere bundles over  $X$  modulo fiber homotopy equivalence. The group law is given by the fiberwise smash product.

**Remark 35.2.** A fiber bundle whose fibers who are all of the *homotopy type* of a sphere is called a *pointed spherical fibration*. Hence we could have defined  $\mathcal{SF}(X)$  also as the Gorthendieck group of (pointed) spherical fibrations.

Sending a vector bundle to its fiberwise one-point compactification defines a homomorphism

$$KO(X) \rightarrow \mathcal{SF}(X).$$

**Example 35.3.** We want to understand this map for  $X$  a sphere. A vector bundle over  $X$  is determined by its clutching function. This can be expressed as an isomorphism

$$K\tilde{O}(S^n) \cong \pi_{n-1}O.$$

Similarly, a sphere bundle is determined by a clutching function

$$f: S^{n-1} \rightarrow \text{Homeo}(S^k, S^k).$$

Since we are only interested in sphere bundles modulo fiber homotopy equivalence, it suffices to specify the clutching function up to homotopy equivalence. Hence a function

$$f: S^{n-1} \rightarrow \text{Equiv}(S^k, S^k)$$

to the monoid of homotopy self-equivalences of  $S^k$  determines a spherical fibration over  $X$  or a sphere bundle up to fiber homotopy equivalence. Let us denote this topological monoid by  $G(k) = \text{Equiv}(S^k, S^k)$ . If we choose  $k$  large enough, we have an isomorphism

$$\mathcal{SF}(S^n) \cong \pi_{n-1}G(k) \text{ for } k \gg 0.$$

But we can say a bit more. An element of  $G(k)$  is a map  $S^k \rightarrow S^k$ . Now we observe that  $G(k)$  is a subset of maps of degree  $\pm 1$

$$\Omega_{\pm 1}^k S^k \subset \Omega^k S^k = \text{Map}_*(S^k, S^k).$$

Therefore, if we subtract the identity, we get an isomorphism

$$\pi_{n-1}G(k) \cong \pi_{n-1+k}(S^k) \text{ for } k \gg 0.$$

Thus, the group  $\mathcal{SF}(S^n)$  is equal to the  $(n-1)$ st stable homotopy group of the sphere

$$\mathcal{SF}(S^n) \cong \pi_{n-1}^s(S^0).$$

Hence, for  $X = S^n$ , the map

$$KO(S^n) \rightarrow \mathcal{SF}(S^n)$$

defined by taking fiberwise one-point compactifications is the  $J$ -homomorphism.

Motivated by this example, we will call the map

$$J: KO(X) \rightarrow \mathcal{SF}(X)$$

the  $J$ -homomorphism for any finite cell complex  $X$ . As a consequence of the discussion in Example 35.3 we also get the following finiteness result of Atiyah's.

**Proposition 35.4.** *If  $X$  is a connected finite cell complex, the group  $\mathcal{SF}(X)$  is finite.*

*Sketch of a proof.* We can argue just as in Example 35.3 that every element in  $\mathcal{SF}(X)$  is classified by a homotopy class of a map

$$X \rightarrow BG(k) \text{ for } k \gg 0$$

where  $BG(k)$  denotes the classifying space of the monoid  $G(k)$  (such a classifying space construction exists). Since  $X$  is a finite cell complex we can use induction on the number of cells and are reduced to show that  $\pi_n BG(k)$  is finite. But the

latter group is equal to  $\pi_{n-1}G(k)$  and we have seen in Example 35.3 that this group is equal to  $\pi_{n-1}^s(S^0)$ . The stable homotopy groups of the sphere spectrum are finite by Serre.  $\square$

**35.2. The Adams conjecture.** Adams conjectured the following property for the  $J$ -homomorphism.

**Theorem 35.5** (The Adams Conjecture). *Let  $X$  be a finite cell complex,  $k$  an integer, and  $y \in KO(X)$ . Then there exists a non-negative integer  $e = e(k, y)$  such that*

$$k^e(\psi^k - 1)y \in \text{Ker } J.$$

*Moreover, these elements (for all  $k$ ) generate the kernel of  $J$ .*

**Remark 35.6.** We could reformulate the assertion of the theorem as follows. For every prime  $p$  not dividing  $k$  the kernel of the map

$$KO(X)_{(p)} \rightarrow \mathcal{SF}(X)_{(p)}$$

is generated by elements of the form  $(\psi^k - 1)y$ .

Before we go on, let us see how the following result of Adams', used in the previous lecture for  $X = S^{4n}$ , follows from the first part of Theorem 35.5. (We use the notation of the previous lecture.)

**Proposition 35.7.** *The group  $J''(X)$  is an upper bound for the image of  $J$  in  $\mathcal{SF}(X)$ .*

*Proof.* Let  $T(X)$  be the kernel of  $J$  and  $Y = KO(X)$ . By Theorem 35.5 there is a function  $e(k, y)$  such that  $Y_e \subseteq T(X)$ , where  $Y_e$  is the subgroup of  $Y$  generated by all elements of the form  $k^e(\psi^k - 1)y$ . This shows that the intersection  $\cap_e Y_e$  is contained in  $T(X)$ . But  $J''(X)$  is by definition the quotient

$$J''(X) = Y / \cap_e Y_e.$$

So we have a surjective map  $KO(X) / \cap_e Y_e \rightarrow KO(X) / T(X)$ . In particular, every element in the image of  $J$  is also in the image of the induced map  $J''(X) \rightarrow \mathcal{SF}(X)$ .  $\square$

**35.3. Line bundles and the mod  $k$  Dold theorem.** We will sketch a proof of Adams' conjecture in the next lecture. Today we study some special cases. We begin with an easy observation.

**Remark 35.8.** If the first assertion of Theorem 35.5 holds for all vector bundles of even rank, then it holds for all vector bundles. For, if  $\xi$  is a bundle of odd rank, then by assumption there is an  $N$  such that

$$k^N(\psi^k - 1)(\xi \oplus \epsilon^1) \in \text{Ker } J,$$

and hence

$$k^N(\psi^k - 1)\xi = k^N(\psi^k(\xi) - \xi) + k^N(\epsilon^1 - \epsilon^1) = k^N(\psi^k(\xi \oplus \epsilon^1) - (\xi \oplus \epsilon^1)) \in \text{Ker } J.$$

**Proposition 35.9.** *Let  $y \in KO(X)$  be a linear combination of real line bundles over the finite cell complex  $X$ . Then there exists an  $e \in \mathbb{N}$  (depending only on the dimension of  $X$ ) such that*

$$k^e(\psi^k - 1)y = 0.$$

*Proof.* Since  $k^e(\psi^k - 1)y$  is linear in  $y$ , it suffices to consider the case in which  $y$  is a real line bundle. In this case, since  $X$  is a finite cell complex, there exists a map  $f: X \rightarrow \mathbb{R}P^n$  for some  $n$  such that  $y = f^*\gamma$ , where  $\gamma$  is the canonical real line bundle over  $\mathbb{R}P^n$ . Hence it suffices to prove the assertion for  $y = \gamma$ .

The  $KO(\mathbb{R}P^n)$  is a finite 2-group generated by  $1 - \gamma$ . (If you know about spectral sequences, you can deduce this easily from the Atiyah-Hirzebruch spectral sequence and the fact that the cohomology of  $\mathbb{R}P^n$  is a finite 2-group.) Hence there is an  $e \in \mathbb{N}$  such that

$$2^e(\psi^k - 1)y = 0.$$

If  $k$  is even, this implies  $k^e(\psi^k - 1)y = 0$ . If  $k$  is odd, then we have the relation  $y^2 = 1$  in  $KO(\mathbb{R}P^n)$ . This implies  $\psi^k(y) = y^k = y$  and hence  $(\psi^k - 1)y = 0$ . To see that we have  $y^2 = 1$  there are many different ways. For example, one could use the fact that real line bundles are characterized by their first Stiefel-Whitney class. Or one notices that the structure group of a real line bundle is  $O(1) = \{+1, -1\}$  from which one sees  $\gamma \otimes \gamma = 1$ .  $\square$

The proof of Theorem 35.5 uses the following generalization of Dold's results.

**Theorem 35.10** (mod  $k$  Dold theorem). *Let  $X$  be a finite cell complex. Suppose there a map of sphere bundles  $\xi_1 \rightarrow \xi_2$  of the same dimension such that the map on fibers  $S^n \xrightarrow{k} S^n$  is of degree  $k$ . Then there exists a non-negative integer  $e$  such that  $k^e\xi_1$  and  $k^e\xi_2$  are fibre homotopy equivalent and hence  $k^e\xi_1 = k^e\xi_2 \in \mathcal{SF}(X)$ .*

**Example 35.11.** Let  $L$  be a complex line bundle, or equivalently an oriented 2-dimensional real vector bundle. Then the map

$$X \rightarrow \mathbb{C}P^\infty \xrightarrow{k} \mathbb{C}P^\infty$$

classifies  $L^{\otimes k}$ . The map  $\mathbb{C}P^\infty \xrightarrow{k} \mathbb{C}P^\infty$  is covered by a map of universal bundles which is fiberwise the degree  $k$  map. For sending  $L$  to  $L^{\otimes k}$  corresponds in each fiber to the map  $z \mapsto z^k$ . Then the mod  $k$  Dold theorem implies that there is an  $e$  such that  $k^e\psi^k(L) = k^eL^{\otimes k}$  and  $k^eL$  are fiber homotopy equivalent. Alternatively, we could say that  $\psi^k(L) - L = 0 \in \mathcal{SF}(X)[k^{-1}]$ .

35.4. **Sketch of Adams' proof for  $X = S^{4n}$ ,  $4n \equiv 4 \pmod{8}$ .** Let  $X = S^{2n}$  such that the map

$$r: K(S^{2n}) \rightarrow KO(S^{2n})$$

is surjective. So given  $y \in KO(S^{2n})$  there is a  $z \in K(S^{2n})$  such that  $y = r(z)$ . Now consider the map

$$q: W = S^2 \times \cdots \times S^2 \rightarrow S^2 \wedge \cdots \wedge S^2 \rightarrow S^{2n}$$

Over  $W$  every vector bundle is a linear combination of complex line bundles (think of  $S^2$  as  $\mathbb{C}P^1$ ). In particular,  $q^*z$  is such a linear combination. Therefore

$$q^*y = r(q^*z)$$

is a linear combination of oriented 2-dimensional real vector bundles. By Example 35.11 we know that there is an  $e$  such that

$$k^e(\psi^k - 1)q^*y = q^*(k^e(\psi^k - 1)y)$$

maps to zero in  $\mathcal{SF}(W)$ . Finally, Adams shows that the map

$$q^*: \mathcal{SF}(S^{2n}) \rightarrow \mathcal{SF}(W)$$

is a monomorphism. (This requires only some knowledge about the classifying space  $BG(k)$  and mapping cones.)

Adams also proved the case that  $y \in KO(X)$  is a linear combination of  $O(1)$ - and  $O(2)$ -bundles. The general case was later proved independently and by very different methods by Quillen-Friedlander, Quillen, Sullivan, and Becker Gottlieb. We will sketch a proof in the next lecture.